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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/865,371	05/25/2001	Earl Walter Emerick	ROC920010109US1

CONFIRMATION NO. 3728

FORMALITIES LETTER



OC00000006347717

Gero G. McClellan
Thomason, Moser & Patterson, L.L.P.
3040 Post Oak Boulevard, Suite 1500
Houston, TX 77056-6582

RESPONSE DUE 9/26/01

Date Mailed: 07/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Emerick et al.

Serial No.: 09/865,371

Date: August 30, 2001

Filed: May 25, 2001

Group Art Unit: 2152

For: METHOD AND APPARATUS
UPGRADE ASSISTANCE USING
CRITICAL HISTORICAL PRODUCT
INFORMATION

Examiner: Unknown

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

LETTER

In response to the Notice To File Missing Parts of Application mailed July 26, 2001, enclosed herewith is a Declaration executed by the inventors, whose signatures did not appear on the Declaration as originally filed.

Authorization is hereby given to charge any fees, including fees under 37 CFR 1.16(e), to Deposit Account 09-0465. A duplicate copy of this letter is enclosed along with a copy of the Notice.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on

August 30, 2001

(Date of Deposit)


Grant A. Johnson

Respectfully submitted,

By:



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Docket No.: ROC920010109US1
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